### **MINUTES**

### **BOARD OF EXAMINATION AND**

### REGISTRATION OF ARCHITECTS

DATE: September 13, 2010

PLACE: Department of Business Regulation (DBR)

1511 Pontiac Avenue, Bldg 68-1 Conference Room

Cranston, RI 02920

MEMBERS PRESENT: Chair David R. Prengaman, Vice-Chair Barbara Feibelman; Secretary Christian J. Ladds, Members John K. Grosvenor and Wilbur E. Yoder

**MEMBERS ABSENT: None** 

OTHERS PRESENT: Brian J. Riggs, DBR, Administrator, Financial Management

Louis A. DeQuattro, Jr., DBR Deputy Director & Counsel Dawne Broadfield, Board Executive

CALLED TO ORDER: Chair Prengaman called the meeting to order at 1:09 p.m.

to commence Board business.

John K. Grosvenor came at 1:14 p.m.

Attorney DeQuattro and Mr. Riggs left at 2:35 p.m.

MINUTES OF THE MEETING OF THE BOARD

- (1) Member Yoder moved to approve the open meeting minutes of August 16, 2010 as published. Vice-Chair Feibelman seconded. Motion passed, 3-0, three (3) in favor, one (1) abstained and one (1) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, and Member Wilbur E. Yoder. Secretary Christian J. Ladds abstained since he was not present at the last meeting. Member John K. Grosvenor was absent from this vote.
- (2) Member Yoder moved to approve and seal the executive session meeting minutes of the August 16, 2010 as published. Vice-Chair Feibelman seconded. Motion passed, 3-0, three (3) in favor, one (1) abstained and one (1) absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, and Member Wilbur E. Yoder. Secretary Christian J. Ladds abstained since he was not present at the last meeting. Member John K. Grosvenor was absent from this vote.

### **EXECUTIVE SESSION**

(1) Secretary Ladds moved to convene into executive session at 1:12 p.m. pursuant to RI General Laws, §42-46-5 (a)(2) for sessions or work sessions pertaining to collective bargaining or litigation and §42-46-5 (a)(4) for investigative proceedings regarding allegations of civil or criminal misconduct. Member Yoder seconded. Motion passed, 4-0, four (4) in favor and one (1) absent. Voting in favor were Chair David

R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Member Wilbur E. Yoder. Member John K. Grosvenor was absent from this vote.

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- (2) Secretary Ladds moved to adjourn from executive session and to reconvene to an open meeting at 1:56 p.m. pursuant to R.I.G.L. §42-46-4. Member Yoder seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.
- (3) Secretary Ladds moved to seal the executive session and record the votes taken in executive session pursuant to RI General Laws §42-46-4 and §42-46-5. Member Yoder seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.
- (4) Secretary Ladds moved to record the votes taken in executive session in accordance with RI General Laws §42-46-4 as follows: Votes were made to close Continuing Education (CE) Verification Audit (Registrants Randomly Chosen), Arlene R. Tunney: Thomas Piatt, RI Registration #1346, Expired December 31, 2009 (Requesting

Reinstatement) and (P2010-02.1)-Stephen Durkee, Matter Involving the Rhode Island Ethics Commission. Member Yoder seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.

- (5) Secretary Ladds moved pursuant to RI General Law §42-46-4 that the Board defer disclosure of all votes in executive session until such time as such disclosure would not jeopardize any strategy, negotiation, or investigation undertaken concerning RI General Law §42-46-5(a). Member Yoder seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.
- (6) In Executive Session closed matters, the vote was 5 to 0 to close three (3) matters.
- (7) In Executive Session pending matters, there are four (4) pending matters, one (1) monitoring matter and three (3) investigative matters with one (1) recusal of one (1) matter for item #2D noted.

### **OLD BUSINESS**

(1) Plan for Annual Spring 2010 Meeting with the Building Officials

Secretary Ladds received a September 29, 2010 date for the meeting but is still waiting for a time. Mrs. Broadfield will follow-up on a time. The purpose of this meeting is to inform the building officials about registration requirements and certificates of authorization (COA). Chair Prengaman will contact two (2) former Board members, who previously attended this meeting, and inquire what they talked about in the past meetings. Member Grosvenor moved to continue for results of meeting. Chair Prengaman, Secretary Ladds and Member Grosvenor will be attending. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.

- (2) 2009 Individual Renewal Applications.
- Continuing Education Reporting Forms" were sent to 45 Architects (approximately 2.5%)

Forty-four (44) registrants have responded to the random continuing education audit. The Board granted an extension to one (1) registrant. The last continuing education audit was received and accepted. Member Grosvenor moved to close. Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara

Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.

### **NEW BUSINESS**

## (1) On-Line Registrations and Renewals

Vice-Chair Feibelman again brought up a concern as noted at the last meeting that moving forward registrants will be renewing on-line and will no longer be receiving a renewal notification. After discussion, Chair Prengaman will meet with Director Marques to voice the Boards concerns in that if a renewal notification is not

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sent, registrations may not renew. Mr. Riggs spoke with Director Marques and reported that there was a miscommunication. There will always be a first notice sent out. Director Marques was referring to second reminder notices will no longer be sent. There is no longer a need for the meeting.

# (2) Certificate of Authorization Renewals

After discussion of the process, the process will remain as previously discussed and approved at previous meetings. On-line renewals will attest to all of the requirements. If there is a change, the firm must submit a paper amended application for Board review in addition to the on-line or paper renewal application.

(3) New England Conference of Architectural Registration Boards (NECARB)

The NECARB Fall meeting is scheduled on October 15-17, 2010 in Maine. Chair Prengaman will be attending.

# **BLUE BOOKS (RECIPROCITY)**

- (A) Member Yoder moved to approve the following Blue Books as submitted with an expiration date of December 31, 2011. Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.
- (1) BELL, James D. (4) MACLEOD, Robert N.
- (2) CIAVARRA, Lea (5) MORRIS, Dan S.
- (3) DAVIS, William K.
- (B) Member Yoder moved to approve the following Blue Book pending receipt of a photo with an expiration date of December 31, 2011. Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.

## (1) MUTTER, R. Craig

(C) After review and discussion, Member Yoder moved to approve the following Blue Book as submitted with an expiration date of December 31, 2011. Member Grosvenor seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.

# (1) VANKO, J. Peter

## **BLUE BOOK(REINSTATEMENT)**

(A) Member Yoder moved to approve the reinstatement of the Blue Book as presented with an expiration date of December 31, 2011. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.

## (1) TEMPLE, Dean C., #3041

CERTIFICATES OF AUTHORIZATION (COA) APPLICATIONS (See Attached List)

(A) Member Yoder moved to approve the application for the Certificate of Authorization for the Sole Proprietorship for Anthony J.

Turpin, AIA as submitted. Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.

- (B) Motion Yoder moved to approve the applications for the Certificates of Authorization for the Limited Liability Companies for Ahern Schoffer & Associates, LLC and Gund Partnership LLC as submitted. Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.
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- (C) Member Yoder moved to approve the Change in Responsible Control removing David Ball for the application for Certificate of Authorization for the Corporation for BL Companies New England, Inc., #A-14,363, as submitted. Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.
- (D) Member Grosvenor moved to send a letter to the application for the Certificate of Authorization for C L. Helt, Architect Inc. indicating that in the process of transmitting the application for a Certificate of

Authorization to the Board, the firm submitted four (4) different structures in the Corporation which has confused the Board as to the clarity of whether the firm is a Sole Proprietorship or a Corporation as well as who the actual directors are and request that the firm submit a corrected original paper application with an original notary and a copy of the articles of incorporation signed and dated. Member Yoder and Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.

(E) Member Yoder moved to send a letter the Change in Responsible Control adding Lawrence M. Rosenbloom and Brian T. Norton for the application for Certificate of Authorization for the Corporation for AECOM Technical Services Architects & Engineers, Inc., #A-14,324, indicating that the Board is confused by the multiple submissions of the application and request that the firm submit a corrected original paper application with an original notary and a copy of the articles of incorporation signed and dated. Vice-Chair Feibelman seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.

#### **ADJOURNMENT**

Chair Prengaman announced that the next meeting of the Board is scheduled for Monday, October 18, 2010, at 1:00 p.m. at the DBR, The John O. Pastore Center, 1511 Pontiac Avenue, Conference Room 68-1, Cranston, RI 02920. Member Yoder moved to adjourn the meeting at 2:58 p.m. Secretary Ladds seconded. Motion passed, 5-0, five (5) in favor and none absent. Voting in favor were Chair David R. Prengaman, Vice-Chair Barbara Feibelman, Secretary Christian J. Ladds and Members John K. Grosvenor and Wilbur E. Yoder.

Respectfully submitted,

Christian J. Ladds, AIA Secretary

CJL/dmb

• Posted on October 19, 2010 electronically on Secretary of State's Office website. Pursuant to the Open Meetings Law, R.I. Gen. Laws Sec. 42-46-6(b), business not included in this notice may be considered with the consent of the majority of the members, and/or may convene into Executive (closed) Session pursuant to R.I. Gen. Laws Sec. 42-46-5.

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C. O. A. APPLICATIONS
Board Meeting of SEPTEMBER 13, 2010

**SOLE PROPRIETORSHIPS** 

ANTHONY J. TURPIN, AIA - 0 - GA APPROVED - 09/13/10

LIMITED LIABILITY COMPANY
AHEARN SCHOFFER & ASSOCIATES, LLC \$100. MA APPROVED -
09/13/10
GUND PARTNERSHIP LLC \$100. MA APPROVED – 09/13/10

# **CORPORATIONS**

AECOM TECHNICAL SERVICES ARCHITECTS - 0 - CA SEND LETTER REQUESTING

& ENGINEERS, INC. CORRECTED ORIGINAL APPLICATION

A-14,324 - Change in Responsible Control WITH AN ORIGINAL NOTARY AND

Added: Lawrence M. Rosenbloom and Brian T. Norton ARTICLES
OF INCORPORATION, SIGNED
AND DATED

BL COMPANIES NEW ENGLAND, INC. - 0 - CT APPROVED - 09/13/10 A-14,363 - Change in Responsible Control

Removed: David Ball

C. L. HELT, ARCHITECT INC. \$100. NC SEND LETTER REQUESTING
CORRECTED ORIGINAL APPLICATION
WITH AN ORIGINAL NOTARY AND
ARTICLES OF INCORPORATION, SIGNED
AND DATED.